

Manual for Family Planning Indemnity Scheme

October 2013

Family Planning Division Ministry of Health and Family Welfare Government of India





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Dated: 16th September 2013

FOREWORD

The National Population Policy 2000 specifies unmet need for contraception as a priority area to be addressed urgently. The surveys conducted in India indicate that only 47% of the eligible couples adopt any modern contraceptive method to plan their family. Although the government has brought about a paradigm shift in favour of spacing methods, there is still a large unmet need for sterilization services and it continues to be the most accepted method.

Sterilization services are largely provided through public facilities supplemented by private service providers. There is a continuing concern about the number of adverse events following sterilization as well as litigations faced by the facilities/doctors against such cases.

To partially mitigate this, the Government of India had introduced the National Family Planning Insurance Scheme in November 2005. The scheme has succeeded in enhancing the credibility of the programme as also compensate the clients against adverse events following sterilization.

To bring in more accountability the government has now partially modified the scheme wherein the state government would themselves handle such cases in future instead of an insurance company.

I congratulate the efforts of the Family Planning Division in unveiling a comprehensive manual on the scheme which would not only serve as a reference material but also as a guide for the programme managers as well as service providers in processing all the claims pertaining to the adverse events following sterilization.

Anuradha Gupta



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Preface

The National Population Policy 2000 advocated a holistic multispectral approach toward population stabilisation, with no targets for specific contraceptive methods except for achieving a national average of total fertility rate of 2.1 by the year 2010. The NRHM programme of the Government of India introduced in 2005 and since been extended to 2017, places population stabilisation as one of its goals to achieve a TFR of 2.1 by 2017. Surveys show that there is still a high unmet need for terminal methods in the country.

Quality of services plays a major role in acceptance of any service. Poor quality of services leads to unsatisfied clients with resulting under-utilization of services. To build the confidence of clients it is necessary to provide them safeguards against adverse events. With that view and the directions of the honourable Supreme Court of India, the Family Planning Insurance Scheme was introduced in the National Family Planning Programme in November 2005.

The scheme has now been modified into the 'National Family Planning Indemnity Scheme' which is now to be operated through the state governments and district health society route instead of the existing private sector insurance company.

There was therefore a need to revise the existing manual extensively to incorporate the various changes designed to smoothly operate the scheme.

I appreciate the efforts of the Family Planning Division in accomplishing this exhaustive exercise. I hope this manual serves the programme managers and the service providers at the state and district level in providing quality sterilization services as well as pay compensation for adverse events following sterilization wherever justified and establish the credibility of the programme.

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Acknowledgement

Quality of sterilization service is a major thrust area under the National Rural Health Mission of the Government of India for addressing the large unmet need in terminal methods. Improper methods of sterilization may result in high number of cases of failures, complications and even death in some instances. This may result in higher indemnification to the beneficiaries.

The manual for Family Planning Indemnity Scheme has been made possible with constant support and encouragement received from Shri Keshav Desiraju, Secretary (H&FW) and Ms. Anuradha Gupta, Additonal Secretary and Mission Director (NRHM), Ministry of Health and Family Welfare. I also thank Dr. Rakesh Kumar, Joint Secretary, for his support. Appreciation is also due to my colleagues Dr. Teja Ram, Deputy Commissioner (FP), Dr. Pragati, Dr. Mithila, Dr. Nimisha, Shobhana, Renuka and specially Mrunal for preparation of this manual. I also thank Ms. Celine Gomes, Jhpiego for designing and formatting this manual.

It is hoped that this manual facilitates the state and district health authorities in strengthening the process of compensation against adverse events following sterilization procedures as well as providing prompt indemnity cover to the clients/ doctors/ health facilities.

Dr. S. K Sikdar

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INTRODUCTION:

India is the first country that launched a National Family Planning Programme in 1952, emphasizing fertility regulation for reducing birth rates to the extent necessary to stabilize the population at a level consistent with the socio-economic development and environment protection. Since then the demographic and health profiles of India have steadily improved.

The NRHM provides a policy framework for advancing goals and prioritizing strategies during the next decade, to meet the reproductive and child health needs of the people of India, and to achieve replacement level of total fertility rate (TFR) of 2.1 by 2017 (12th plan goal)

Sterilization as a component of family planning services are largely being provided through a network of public and private sector facilities. In most states, camps are still a major source of sterilization services. There has been growing concern about the quality of sterilization services being offered, particularly at the camp facilities. The continuing high number of complications, failures and deaths following sterilizations also results in increased litigation being faced by the providers, which is another barrier in scaling up the sterilization services.

To address this issue, the Government of India had introduced the "National Family Planning Insurance Scheme" since 25th November, 2005 which has now been modified into "Family Planning Indemnity Scheme" with effect from 1st April, 2013.

The Manual for "Family Planning Indemnity Scheme" has therefore been updated accordingly with the objective of providing a framework for the process of payment of compensation for death/failure/complications cases arising out of sterilization failures for acceptors as well as service providers.

1. JUSTIFICATION:

With a view to encourage people to adopt permanent methods of Family Planning, the Government has been implementing a Centrally Sponsored Scheme since 1981 to compensate the acceptors of sterilization for the loss of wages for the day on which he/she attended the medical facility for undergoing sterilization.

Under the Scheme, the Central Government released funds to States/UTs @ Rs.300 per Tubectomy, Rs.200 per Vasectomy and Rs.20 per IUD Insertion. The States/UTs had the flexibility to decide the amount of apportionment among various components, provided minimum amount of Rs.150 was paid to the acceptors of Tubectomy/Vasectomy and Rs.60 per Tubectomy, Rs.25 per Vasectomy and Rs.20 per IUD insertion was used by the medical facility towards drugs and dressing. This was intended to ensure quality of service in these procedures. Flexibility rested with the States for determining sub components of the remaining amount, within the total package. In the case of EAG States viz. Bihar, Chhattisgarh, Jharkhand, Madhya Pradesh, Orissa, Rajasthan, Uttar Pradesh and Uttaranchal, the compensation package for sterilization had been raised from Rs.300/- to Rs.400/-per Tubectomy, Rs.200/- to Rs.400/-per Vasectomy if conducted in a public health facility or approved private sector health facility, and from Rs.20 to Rs.75 per IUD insertion, if conducted in an approved private sector health facility.

The above compensation scheme for loss of wages for acceptors of sterilization services was revised with effect from 31.10.06 and has been further improved with effect from 7.9.07 which is as below:

a) For Public (Govt.) Facilities:

Category	Breakage of the Compensation package	Acceptor	Motivator	Drugs and dressing	Surgeon charges	Anes- thetist	Staff Nurse	OT technician /helper	Refreshment	Camp management	Total
High focus states	Vasectomy (ALL) Tubectomy (ALL)	1100	200 150	50 100	100 75	- 25	15 15	15 15	10	10	1500
Non High focus states	Vasectomy (ALL) Tubectomy (BPL + SC/ST	1100	200	50	100 75	25	15	15 15	10	10	1500
Non High focus states	only)) Tubectomy (APL only)	250	150	100	75	25	15	15	10	10	650

b) For Private Facilities:

Category	Type of operation	Facility	Motivator	Total
High focus states	Vasectomy(ALL)	1300	200	1500
	Tubectomy(ALL)	1350	150	1500
Non High focus	Vasectomy (ALL)	1300	200	1500
states	Tubectomy (BPL + SC/ST)	1350	150	1500

Apart from providing cash compensation to the acceptor of sterilization for loss of wages, transportation, diet, drugs, dressing etc out of the funds released to States/UTs under this scheme, some States/UTs were apportioning some amount for creating a miscellaneous purpose fund. This fund was utilized for payment of ex-gratia to the acceptor of sterilization or his/her nominee in the unlikely event of his/her death or incapacitation or for treatment of post operative complications attributable to the procedure of sterilization, as under:

- i) Rs. 50,000/- per case of death
- ii) Rs. 30,000/- per case of incapacitation
- iii) Rs. 20,000/- per case of cost of treatment of serious post operation complication

Any liability in excess of the above limit was to be borne by the State/UT/NGO/ Voluntary Organization concerned from their own resources.

1.1 DIRECTIVES OF HON'BLE SUPREME COURT:

The Hon'ble Supreme Court of India in its Order dated 1.3.2005 in Civil Writ Petition No. 209/2003 (Ramakant Rai V/s Union of India) has, *inter alia*, directed the Union of India and States/UTs for ensuring enforcement of Union Government's Guidelines for conducting sterilization procedures and norms for bringing out uniformity with regard of sterilization procedures by –

- 1. Introduce a system of having an approved panel of doctors and limiting the persons entitled to carry on sterilization procedure in the State to those doctors whose names appear on the panel. The panel may be prepared either State-wise, District-wise or Region-wise.
- 2. The State Government shall also prepare and circulate a checklist which every doctor will be required to fill in before carrying out sterilization procedure in respect of each proposed patient. The checklist must contain items relating to (a) the age of the patient, (b) the health of the patient, (c) the number of children and (d) any further details that the State Government may require on the basis of the guidelines circulated by the Union of India. The doctors should be strictly informed that they should not perform any operation without filling in this check list.
- 3. The State Government shall also circulate uniform copies of the proforma of consent. Until the Union Government certifies such proforma, for the time being, the proforma as utilized in the State of U.P. shall be followed by all the States; and
- 4. Each States shall set up a Quality Assurance Committee which should, as being followed by the State of Goa, consist of the Director of Health Services, the Health Secretary and the Chief Medical Officer, for the purpose of not only ensuring that the guidelines are followed in respect of pre-operative measures (for example, by way of pathological tests, etc), operational facilities (for example, sufficient number of necessary equipment and aseptic conditions) and post-operative follow ups. It shall be the duty of the Quality Assurance Committee to collect and publish six monthly reports of the number of persons sterilized as well as the number of deaths or complications arising out of the sterilization.
- 5. Each State shall also maintain overall statistics giving a breakup of the number of the sterilizations carried out, particulars of the procedure followed(since we are given to understand that there are different methods of sterilization), the age of the patients sterilized, the number of children of the persons sterilized, the number of deaths of the persons sterilized either during the operation or thereafter which is relatable to the sterilization, and the number of persons incapacitated by reason of the sterilization programmes.
- 6. The State Government shall not only hold an enquiry into every case of breach of the Union of India guidelines by any doctor or organization but also take punitive action against them. As far as the doctors are concerned, their names shall, pending enquiry, be removed from the list of empanelled doctors.
- 7. The state shall also bring into effect an insurance policy according to the format followed by the state of Tamil Nadu until such time the Union of India prescribes a standard format.
- 8. The Union of India shall lay down within a period of four weeks from date uniform standards to be followed by the State Governments with regard to the health of the proposed patients, the age, the norms for compensation, the format of the statistics, check list and consent proforma and insurance.
- 9. The Union of India shall also lay down the norms of compensation which should be followed uniformally by all the states. For the time being until the Union Government formulates the norms of compensation, the States shall follow the practice of the State of Andhra Pradesh and shall pay Rs 1 lakh in case of death of the patient sterilized, Rs 30,000/- in case of

incapacity and in the case of post- operative complications, the actual cost of treatment being limited to the sum of Rs 20,000/-

The Union Government had complied with the orders of the Supreme Court as enumerated below:

- 1. Creation of panel of Doctors/Health Facilities for conducting sterilization procedures and laying down of criteria for empanelment of doctors for conducting sterilization procedures.
- 2. Laying down of checklist to be followed by every Doctor before carrying out sterilization procedure.
- 3. Laying down of uniform proforma for obtaining of Consent of person undergoing sterilization.
- 4. Setting up of Quality Assurance Committee at State and District level for ensuring enforcement of pre and postoperative guidelines regarding sterilization procedures.
- 5. The Union of India had brought into effect an Insurance Policy as a prescribed standard format for all States/UTs with effect from 29th Nov, 2005 till 31st March, 2013.

With a view to doing away with the complicated process of payment of ex-gratia to the acceptors of sterilization for treatment of post-operative complications, failure of sterilization or death attributable to the procedure of sterilization, the FPIS, was adopted as a national policy and was being implemented since 29th November, 2005 based on the directives of the Hon'ble Supreme Court. The scheme has since been modified as "Family Planning Indemnity Scheme" and is operational from 01.04.2013.

2. OBJECTIVE:

The objective of the FPIS is to indemnify all acceptors of sterilization as also doctors conducting sterilization operation in both public and accredited private/NGO sector health facilities for unlikely events of death/complications/failure following sterilization operations.

3. TARGET AUDIENCE:

The scope of the manual is limited to sterilization services. It has been prepared for program managers at various levels of the health system, including members of State and District Quality Assurance committee who are responsible for monitoring quality of care in terminal family planning methods. The service providers i.e. medical officers at the primary health centres (PHCs), Community Health Centres (CHCs), sub—district & district hospitals ,medical colleges, trainers from training institutes and private providers empanelled in the district as also beneficiaries opting for sterilization operation.

4. BACKGROUND:

Under the existing Government Scheme no compensation was payable for failure of sterilization, and no indemnity cover was provided to Doctors/Health Facilities providing professional services for conducting sterilization procedures etc. Moreover, no apportioning of the amount disbursed under the revised compensation scheme (2007) was admissible for creating a miscellaneous purpose fund for payment of compensation with respect to failures/complications/deaths arising out of sterilization operations.

On the other hand, there was a great demand in the States for indemnity insurance cover to Doctors/Health Facilities, since many empanelled Doctors/Facilities were facing litigation on account of claims filed by the beneficiaries for compensation following failures/complications/ deaths. This led to reluctance among the Doctors/Health Facilities to conduct Sterilization operations.

4.1 FAMILY PLANNING INSURANCE SCHEME W.E.F. 29TH NOVEMBER, 2005:

Against the backdrop of the directions of the Hon'ble Supreme Court the "NFPIS" was introduced, which had gone through some modifications over the years.

The scheme was operated by The Oriental Insurance Company Limited from 29th November, 2005. The benefits of the scheme were as follows:

Section I: (For Beneficiaries)

IA	Death following sterilization in hospital or within 7 days from the date of discharge from the hospital.	Rs.1,00,000/-	
ΙB	Death following sterilization within 8-30 days from the date of discharge from the hospital.	Rs.30,000/-	
I C	Failure of sterilization	Rs.20,000/-	
ID	Cost of treatment upto 60 days arising out of complication from the date of discharge.	Rs.20, 000/-*	
Total liability of the Insurance Company was not supposed to exceed Rs. 9 crore in a year under			

each Section.

(*To be reimbursed on the basis of actual expenditure incurred, not exceeding Rs.20, 000.)

Section II: (For Doctors/ Health Facilities)

All the doctors/health facilities including doctors/health facilities of Central, State, Local-Self Governments, other public sectors and all the doctors/health facilities of non-government and private sectors empanelled /accredited with District Health Authority for rendering family planning services conducting such operations shall stand indemnified against the claims arising out of failure of sterilization, death or medical complication resulting there from upto a maximum amount of Rs. 2 lakh per doctor/health facility per case, maximum upto 4 cases per year. The cover would also include the legal costs and actual modality of defending the prosecuted doctor/health facility in Court, which would be borne by the Insurance Company within certain limits.

4.2 FAMILY PLANNING INSURANCE SCHEME W.E.F. 29TH NOVEMBER, 2006:

The scheme was renewed with Oriental General Insurance Company w.e.f. 29-11-06 with modification in the limits and payment procedure. The benefits in Section I A was increased from Rs 1 lakh to Rs 2 lakhs, for Section I B from Rs 30,000 to Rs 50,000, for Section I C from Rs 20,000 to Rs 25,000 and for Section I D from Rs 20,000 to Rs 25,000. All other terms and conditions remained unchanged.

4.3 FAMILY PLANNING INSURANCE SCHEME W.E.F. 1ST JANUARY, 2008:

The scheme was renewed with ICICI Lombard General Insurance Company and w.e.f. 01-01-08 with the same terms and conditions.

4.4 FAMILY PLANNING INSURANCE SCHEME W.E.F. 1ST JANUARY, 2009:

The scheme was again renewed with ICICI Lombard General Insurance Company and w.e.f. 01- 01- 09. All the terms and conditions remained unaltered.

4.5 FAMILY PLANNING INSURANCE SCHEME W.E.F. 1ST JANUARY, 2010:

The scheme was again renewed with ICICI Lombard General Insurance Company w.e.f. 01-01-10 with all benefits available as mentioned under Policy-2009 above; however, maximum Liability of the Insurance Company was amended and shall not exceed Rs. 14.00 crore in total inclusive of both Section-I & II.

4.6 FAMILY PLANNING INSURANCE SCHEME W.E.F. 1ST JANUARY, 2011:

The scheme with certain changes in procedure was renewed with ICICI Lombard General Insurance Company w.e.f. 01-01-11. The available benefits under Section I A included death during the process of sterilization operation also. Moreover, the Limit of Liability was increased to Rs 25 Crore under Section I and Rs 1 Crore under Section II.

4.7 FAMILY PLANNING INSURANCE SCHEME W.E.F. 1ST JANUARY, 2012:

The scheme was renewed with ICICI Lombard General Insurance Company, on existing terms and conditions, w.e.f. 01-01-12 to 31-12-2012. The total liability of the Insurance Company was not supposed to exceed Rs. 25 crore under Section-I and Rs. 1 crore under Section-II.

4.8 FAMILY PLANNING INSURANCE SCHEME W.E.F. 1ST JANUARY, 2013:

The scheme was then extended with ICICI Lombard General Insurance Company, on existing terms and conditions, w.e.f. 01-01-13 to 31-3-2013. The total liability of the Insurance Company was not supposed to exceed Rs.6.25 crore under Section-I and Rs. 25 lakh under Section-II.

4.9 SETTLEMENT OF CASES NOT COVERED UNDER THE FAMILY PLANNING INSURANCE SCHEME (FPIS):

There might be cases not covered by the Family Planning Insurance Scheme, viz. cases of sterilization operations conducted before coming into force of Insurance Scheme, i.e. prior to 29th November,2005,cases not covered under the National Protocol or the cases already pending in courts etc.

Liability in respect of such cases was to be met by the State Government/UTs Administration from the Miscellaneous Purpose Contingency Fund created in respective State/UTs by apportioning some amount from the grants released to them by the Union Government under the Scheme of Compensation for loss of wages for acceptors of sterilization/IUD insertions or under the Scheme of Flexible Funding for State Programme Implementation Plans (PIPs).

5. CURRENT SCHEME (Part of STATE PROGRAMME IMPLEMENTATION PLANS (PIPs) w.e.f. 1ST APRIL, 2013):

With effect from 01.04.2013, it has been decided that States/UTs would process and make payment of claims to acceptors of sterilization in the event of death/failures/complications /indemnity cover to doctors/health facilities. It is envisaged that States/UTs would make suitable budget provisions for implementation of the scheme through their respective State/UT Program Implementation Plans (PIPs) under the National Rural Health Mission (NRHM) and the scheme may be renamed "Family Planning Indemnity Scheme". The scheme is uniformly applicable for all States/UTs.

It will be the responsibility of the District Official designated for the scheme by the State Government to ensure timely filing and processing of eligible claims. With effect from 1st April 2013, liability in respect of such cases would be met by the State Government/UT Administration from funds released by Government of India, under the National Rural Health Mission (NRHM), through State Programme Implementation Plans (PIPs). The allocation of funds by Government of India to the States /UTs

would be on the basis of either average amount of claims paid during the last 3 years, or an amount not exceeding Rs. 50/- per acceptor of sterilization, whichever is less. However if the State wishes to provide more or spends more than the allocation, the state may make necessary provision/undertake payment of claims, from their state budget. States whose claim ratios are less would also be free to allocate lesser funds than their due, so that resources within the approved envelope for their PIP could be better utilized. In smaller States and UTs where the average number of claims reported in the last 3 years is low, a minimum amount to the extent of Rs 5 lakhs may be proposed. The States/UTs may plan for the payment of compensation to sterilization acceptors as per the scheme, under Budget Head A.3.5.4—Other Strategies/activities Sub-Head A.3.5.4.1.

Claims arising out of cases of sterilization operations which were detected and reported after 1st April, 2013, will come under the purview of State Programme Implementation Plans (PIPs). Claims arising out of cases of sterilization operations detected and reported before 1st April, 2013, will not come under the purview of State Programme Implementation Plans (PIPs). Such claims would be covered as per the respective guidelines of expired policies from 29th November 2005 to 31st March, 2013.

The available benefits under the Family Planning Indemnity Scheme are as under:

Section	Coverage	Limits
IA	Death following sterilization (inclusive of death during process of sterilization operation) in hospital or within 7 days from the date of discharge from the hospital	Rs. 2 lakh
I B	Death following sterilization within 8 - 30 days from the date of discharge from the hospital	Rs. 50,000/-
I C	Failure of sterilization	Rs 30,000/-
ID	Cost of treatment <i>in hospital and</i> upto 60 days arising out of complication following sterilization operation (inclusive of complication during process of sterilization operation) from the date of discharge	Actual not exceeding Rs. 25,000/-
II	Indemnity per Doctor/Health Facilities but not more than 4 in a year	Upto Rs. 2 Lakh per claim

This updated manual is available on the Ministry's website: www.mohfw.nic.in click www.nrhm.gov.in and then click http://nrhm.gov.in/nrhm-components/rmnch-a/family-planning/schemes.html

6. SALIENT FEATURES OF THE SCHEME:

- 1. The Family Planning Indemnity Scheme has all India coverage.
- 2. All persons undergoing/undergone sterilization operations in public health facility and health facilities of non-government and private sectors empanelled/accredited with District Health Authority are covered under Section- I–A, I-B, I-C and I-D of the scheme.
- 3. The Consent Form filled by the person at the time of enrolling himself/herself for sterilization operation duly countersigned at the medical facility shall be proof of coverage under the scheme. (Annexure II)
- 4. The medical records and checklist for female/male Sterilization should also be duly filled by the Doctors/Health Facilities. (Annexure III)
- 5. All the doctors/health facilities including doctors/health facilities of Central, State, Local-Self Governments, other public sectors and all the doctors/health facilities of Non-Government

- and private sectors empanelled/accredited with District Health Authority and conducting such operations are covered under Section -II of the scheme. There is a stipulated criteria for empanelment of doctors/accreditations of health facilities for sterilization. (Annexure IX)
- 6. All claims arising under Section I and Section II shall be admissible from 1st April 2013, under the scheme.
- 7. Claims arising out of cases of sterilization operations which were detected and reported after 1st April, 2013, will come under the purview of State Programme Implementation Plans (PIPs). Claims arising out of cases of sterilization operations detected and reported before 1st April, 2013, will not come under the purview of State Programme Implementation Plans (PIPs). Such claims would be covered and processed as per the respective guidelines of expired policies from 29th November 2005 to 31st March, 2013 and the concerned CMO/CDMO/CMHO/CDHMO/DMO/DHO/Joint Director of the district would be responsible for unpaid/time barred claims above. No provision will be made for unpaid claims in the State PIPs.
- 8. The claims will fall within the "Family Planning Indemnity Scheme" only if the beneficiary will file the claim with the DQAC within 90 days from the occurrence of the event of failure/death/complication.
- 9. Every claim, writ and summons related to the event of failure/death/complication should be forwarded to the District/State by the doctors/health facilities under Section II.

7. PROPOSED STRUCTURE FOR IMPLEMENTATION OF THE SCHEME: QUALITY ASSURANCE COMMITTEE

Quality Assurance Committee will be formed at the State and Districts level to ensure that the Standards for female and male sterilization as laid down by the GOI are followed in respect of preoperative measures (for example by way of pathological tests, health and patient etc., operational facilities (for example, sufficient number of necessary equipment and aseptic condition and post operative follow ups). It shall be duty of the Quality Assurance Committee to collect and publish six monthly reports of the number of persons sterilized as well as the number of deaths or complications arising out of the sterilization. The Committee should meet at least once in three months. The composition of the Committee would be as follows:

AT STATE LEVEL:

State Level Quality Assurance Committee (SQAC):

Composition:

- 1. Secretary, Medical and Health (Chairperson)
- 2. Mission Director –NRHM (Vice Chairperson)
- 3. Director Family Welfare/Director Health Services/Director Public Health Equivalent (Convener): to be nominated by the Chairperson.
- 4. Additional/Joint Director (FW)/Deputy Director (FW)/Equivalent, designated by the state government as the nodal officer for the Quality Assurance (QA) Cell (Member Secretary)
- 5. Director, Medical Education
- 6. Director/Principal of state training institution e.g. SIHFW/ CTI/ RHFWTC
- 7. One Empanelled Gynaecologist (from public institutions)

- 8. One Empanelled Surgeon (from public institutions)
- 9. One Anaesthetist (from public institutions)
- 10. One Paediatrician (from public institutions)
- 11. State Nursing Adviser/ Equivalent
- 12. One member from an accredited private sector hospital/ NGO (health care sector)
- 13. One representative from the legal cell
- 14. One representative from medical professional bodies e.g. FOGSI/ IMA/ IAP/IAPSM/ Association of Public Health
- 15. Any other member or representatives of public health organisations of eminence as nominated by the state government

Note: The Quality Assurance Committee as laid down in the 'Quality Assurance Manual for Sterilization Services' shall stand subsumed within the QAC mentioned above.

However a 5 member "State Family Planning Indemnity Subcommittee" from within the SQAC would redress, dispose and disburse claims/complaints received through the DQAC, to the district health society as per procedure and time frame laid down in this manual.

The subcommittee would comprise of the following:

- 1. Mission Director –NRHM (Chairperson)
- 2. Director Family Welfare/Director Health Services/Director Public Health Equivalent (Convener)
- 3. Additional/Joint Director (FW)/Deputy Director (FW)/Equivalent (Member Secretary)
- 4. Empanelled Gynaecologist (from public institutions)
- 5. Empanelled Surgeon (from public institutions)

Terms of Reference of the Committee:

- Visit both public and private facilities providing family planning services in the state to ensure implementation of national standards
- Review and report deaths/complications following Sterilization in the state
- Review and report conception due to failure of sterilization in the state
- Give directions on implementation of measures to improve quality of sterilization services
- Review the implementation of the National Family Planning Indemnity Scheme / payment of compensation in the state
- The "State Family Planning Indemnity Subcommittee" would meet as often as warranted
- At least three members would constitute the quorum of this sub-committee

AT DISTRICT LEVEL:

District Level Quality Assurance Committee (DQAC):

Composition:

- 1. District Collector, Chairperson
- 2. Chief Medical Officer/District Health Officer (convener)
- 3. District Family Welfare Officer/RCHO/ ACMO/ equivalent (member secretary)

- 4. Nodal Officers of Programme Divisions at districts
- 5. One empanelled gynaecologist (from public institutions)
- 6. One empanelled surgeon(from public institutions)
- 7. One anaesthetist (from public institutions)
- 8. One paediatrician (from public institutions)
- 9. One representative from the nursing cadre
- 10. One representative from the legal cell
- 11. One member from an accredited private sector hospital/ NGO (health care sector)
- 12. One representative from medical professional bodies e.g. FOGSI/IMA/IAP/IAPSM/ Association of Public Health

However a 5 member "District Family Planning Indemnity Subcommittee" from within the DQAC would process claims received from the clients and complaints/ claims lodged against the surgeons and accredited facilities, as per procedures and time frame laid down in this manual.

The subcommittee would comprise of the following:

- 1. District Collector, (Chairperson)
- 2. Chief Medical Officer/District Health Officer (convener)
- 3. District Family Welfare Officer/RCHO/ ACMO/ equivalent (member secretary)
- 4. Empanelled gynaecologist (from public institutions)
- 5. Empanelled surgeon (from public institutions)

Terms of Reference of the committee:

- Conducting medical audit of all deaths related to Sterilization and sending reports to the State
 QA committee Office.
- Collecting information on all hospitalization cases related to complications following sterilization, as well as sterilization failure.
- Reviewing all static institutions i.e., Government and accredited Private/NGOs and selected Camps providing sterilization services for quality of care as per the standards and recommend remedial actions for institutions not adhering with standards.
- Review, report and process compensation claims for onward submission to the SQAC under the National Family Planning Indemnity Scheme for cases of deaths, complications and failures following male and female sterilization procedures (for detailed procedures to be followed please refer to the manual on "Family Planning Indemnity Scheme 2013, Ministry of Health & Family Welfare, Government of India").
- In case a facility reports a sterilization related death, the convenor of the DQAC should inform the convenor of the SQAC within 24 hours. Death audit needs to be undertaken by the DQAC and report sent to the state with a copy to the Govt. of India, within one month of the death being reported.
- The "District Family Planning Indemnity Subcommittee" would meet as often as warranted.
- At least three members would constitute the quorum of this sub-committee.

8. OPERATIONAL PROCEDURE FOR CLAIM SETTLEMENT FROM 1-4-2013:

SECTION I

8.1 CLAIMS PROCEDURE:

- 1. On receipt of the information of any claim from the acceptor of Sterilization under Section-I, the beneficiary, through their designated hospital and doctors, shall immediately fill up claim form.(Annexure I)
 - If such covered cause is detected "during examination of the acceptor in health facility", the health facility shall ensure to get the claim form filled from the beneficiary on the spot without loss of time. The health facility shall forward the claim papers along with necessary documents to the designated officer of the district.
- 2. On receiving the claim papers, proper acknowledgement must be made by the designated district official by putting the stamp on all documents, for further processing and payment of the claims. Based on the following documents, claims shall be processed by the designated district level officer under different sections of the scheme. (Annexure III)
- 3. The claims processing under Section-I death, complications and failures following sterilization operation will continue to be processed by the District Quality Assurance Committee (DQAC) and put up to SQAC. The SQAC could perform the role hence carried out by the Insurance Company in terms of scrutinizing the documents and calling for any new and relevant material missing from the recommendation of the DQAC. The SQAC would thus review every single case in the state and recommend release of funds to the district wherever applicable. (Annexure XI)
- 4. For the purpose of verification and medical evaluation of the claim lodged by the beneficiary, the State Government has formed/shall form the Quality Assurance Committee (QAC) and for all purposes the authority shall be with CMO/CDMO/CMHO/CDHMO/DMO/DHO/ Joint Director designated for this purpose at district level designated by respective States/UTs.
- 5. The "Claim Form cum Medical Certificate" in original duly completed in all respects by the beneficiary submitted through their designated hospital and doctors shall be authenticated by the CMO/ CDMO/CMHO/ CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.(Annexure I)
- 6. Duly completed "Claim Form cum Medical Certificate" along with documents as specified below shall be the basis of lodging claims under Section-I of the scheme. The "Claim Form cum Medical Certificate" shall be duly completed in all respects by the beneficiary and shall be authenticated by the CMO/ CDMO/ CMHO/ CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.
- 7. The claims processing shall be decentralized at State level and District level, along with the required documents as specified below, preferably within 30 days from the date of detection of the covered cause is documented under the scheme.
- 8. Stipulated time limit for settlement of claims under Section-I of the scheme would be 15 working days in case of death and 21 days in case of others, after submission of all required documents.

8.1.1 DEATH FOLLOWING STERILIZATION (SECTION-I -A & I-B):

1. In case of claims for death of the acceptor under Section-I following sterilization operation (inclusive of death during process of sterilization operation), copy of death certificate issued

by hospital/ municipality or any other authority designated and copy of Proof of Pre and Post Operative Procedure/Discharge Certificate duly attested by the convener of QAC/CMO/CDMO/CMHO /CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.(Annexure VI)

- 2. Claims under Section-1-A death following Sterilization (inclusive of death during process of sterilization operation) in hospital or within 7 days from the date of discharge from the hospital and under Section-1-B Death following sterilization within 8-30 days from the date of discharge from the hospital) shall be paid equally in favour of the spouse and unmarried dependent children whose names are appearing in the Consent Form/Claim Form. In case of no spouse, the payment shall be made to the unmarried dependent children. State Health Society/District Health Society under Section-I-A will first reimburse Rs 50,000/- to RKS of the district, in case this amount is paid by RKS as ex-gratia and the balance amount will be paid to other eligible members of the deceased. (Annexure VII)
- 3. In the event of death as per Section-I-A above, the State Health Society /District Health Society would be paying to the first kin of the deceased if, death of the acceptor has taken place following sterilization(inclusive of death during process of sterilization operation), during hospitalization or within the 7 days from the discharge of the hospital.

If dependent children are minor, the payment shall be made by the District Health Society in the name of minor children. The cheques, in this case would be issued by the District Health Society in the name of minor beneficiary with the following endorsement (overleaf);

"Amount to be deposited as FDR in the name of minor Sh /Ku till the minor attains the maturity. No premature payment of FDR is allowed. Quarterly interest may be paid to the guardian".

In case, there are no surviving spouse/unmarried dependent children, the claim shall then be payable to the legal heir of the deceased acceptor subject to production of legal heir certificate.

DOCUMENTS REQUIRED FOLLOWING STERILIZATION (SECTION-I -A & I- B):

- a) Claim Form cum Medical Certificate in original duly signed and stamped by the convener of QAC/CMO/CDMO/CMHO/ CDHMO/ DMO/DHO/Joint Director designated for this purpose at district level.(Annexure I)
- b) Copy of Consent Form duly attested by the convener of QAC/CMO/CDMO/CMHO/CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.(Annexure II)
- c) Copy of Sterilization Certificate duly attested by the convener of QAC/CMO/CDMO/CMHO/CDHMO/DMO/DHO/Joint Director designated for this purpose at district level. .(Annexure IV)
- d) Copy of Proof of Post Operative Procedure/Discharge Certificate duly attested by the convener of QAC/ CMO/CDMO/ CMHO/CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.
- e) Copy of Death certificate issued by Hospital/Municipality or authority designated duly attested by the convener of QAC/ CMO/CDMO/ CMHO/ CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.

8.1.2 FAILURE OF STERILIZATION (SECTION-I-C)

The claims under Section-I-C (Failure of Sterilization) & I-D [(Complication following Sterilization operation (inclusive of complication during process of sterilization operation)]shall be paid in the name of beneficiary.

In case of a male beneficiary who has undergone sterilization operation and motility is noticed in the semen test report after 3 months of sterilization operation; the designated district level officer shall process and provide compensation to the person having undergone sterilization as per the limit specified in Section I C of the schedule.

DOCUMENTS REQUIRED FOR FAILURE OF STERILIZATION (SECTION-I-C):

- a) Claim Form cum Medical Certificate in original duly signed and stamped by the convener of QAC/ CMO/ CDMO/ CMHO/ DMO/ DHO/Joint Director designated for this purpose at district level.(Annexure I)
- b) **Copy of Consent Form duly attested** by the convener of QAC/CMO/CDMO/CMHO/CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.**(Annexure II)**
- c) Copy of Sterilization Certificate duly attested by the convener of QAC/CMO/CDMO/CMHO/CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.(Annexure IV)
- d) Copy of any of the following Diagnostic Reports confirming failure of sterilization duly attested by the convener of QAC/CMO/CDMO/CMHO/ CDHMO/ DMO/DHO/Joint Director designated for this purpose at district level.

IN CASE OF TUBECTOMY THE REPORT MAY BE:

- 1. Urine test report supported by Physical Examination report / A N card/ USG report
- 2. MTP report
- 3. Physical examination report
- 4. USG report
- 5. In extreme cases birth certificate in case of full term pregnancy

IN CASE OF VASECTOMY

1. Semen Test Report

NOTE: Any one of the above A or B document detecting failure of sterilization would be sufficient for processing the claim under this section.

8.1.3 COMPLICATION ARISING DUE TO STERILIZATION (SECTION-ID):

For claims arising due to medical complications following sterilization operation (inclusive of complication during process of sterilization operation) as per Section-I-D, the CMO/CDMO/CMHO /CDHMO/ DMO/ DHO/Joint Director designated for this purpose at district level shall certify the cost of treatment of such complications incurred by the beneficiary and or hospital, for which relevant original bills/cash memos, prescriptions and diagnostic reports confirming expenses incurred for treatment of complication following Sterilization are to be obtained.

DOCUMENTS REQUIRED FOR COMPLICATION ARISING DUE TO STERILIZATION (SECTION-ID):

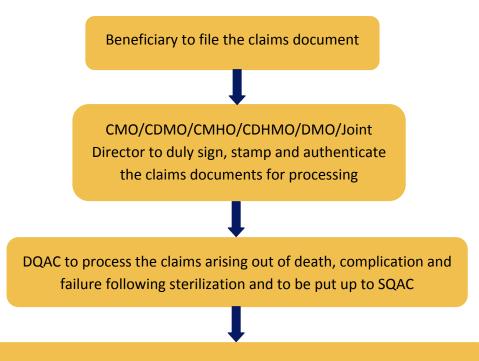
- a) Claim Form cum Medical Certificate in original duly signed and stamped by the convener of QAC/ CMO/CDMO/ CMHO/ CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.(Annexure I)
- b) **Copy of Consent Form duly attested** by the convener of QAC/CMO/CDMO/CMHO/CDHMO/DMO/DHO/Joint Director designated for this purpose at district level.**(Annexure II)**

- c) Copy of Sterilization Certificate duly attested by the convener of QAC/CMO/CDMO/CMHO/CDHMO/DMO/DHO/ Joint Director designated for this purpose at district level.(Annexure IV)
- d) Original Bills/Receipts/Cash Memos along with Original Prescription and Case Sheet confirming treatment taken for complication due to sterilization.

NOTE: NO FURTHER DOCUMENT SHOULD BE SOLICITED BY THE DESIGNATED DISTRICT LEVEL OFFICER.

Any claim received under **Section-I** of this scheme shall not prejudice other claims under other section in respect of the same person.

A DETAILED FLOWCHART SHOWING THE STEPS OF THE CLAIMS PROCESS



SQAC to scrutinize the documents and if required, call for any new and relevant material missing from the recommendation of DQAC. SQAC to review every single case in the state and recommend release of funds to the district, wherever applicable

SECTION II:

8.2 CLAIMS PROCEDURE:

- 1. For claims under Section II of the scheme, it will be responsibility of the doctor/health facility on receiving any Legal Notice/ Summons from the Court shall immediately inform, in writing, to State Health Society/District Health Society, who would thereafter, take over entire defense process of the case, including engagement of advocate and payment of legal expenses which would be paid later by State Health Society/ District Health Society. However, State Health Society/ District Health Society shall not be liable to pay more than the amount mentioned in the Section II in any case, under all heads.
- 2. In emergent situation the defence costs incurred by the doctor/health facility shall be reimbursable, if incurred in consultation with the State Health Society/District Health Society; the defence costs shall be limited to Rs. 5,000 per incidence for such cases.
- 3. Liability of the State Health Society under Section -II would be limited to four cases of litigation in respect of every doctor or health facility in a year. All the doctors/health facilities including doctors/health facilities of Central, State, Local-Self Governments, other public sectors and all the doctors/health facilities of non-government and private sectors empanelled /accredited with District Health Authority for rendering family planning services and conducting such operations shall stand indemnified against the claims arising on them out of failure of sterilization, death or medical complication resulting therefrom upto a maximum amount of Rs. 2 lakh per case, maximum upto 4 cases per doctor/health facility per year. The cover would also include the legal costs and actual modality of defending the prosecuted doctor/health facility in Court, which would be borne by the Doctors/Health Facilities within the limit of Section- II.

DOCUMENTS REQUIRED UNDER INDEMNITY COVER (SECTION-II):

- 1. Intimation in writing
- 2. Copy of summon/FIR
- 3. Copy of Sterilization Certificate (Annexure IV)
- 4. Copy of Consent Form (Annexure II)
- 5. Certificate from the convener of QAC/CMO/CDMO/CMHO/CDHMO/DMO/DHO/Joint Director designated for this purpose at district level confirming that the Sterilization Operation was conducted by the doctor etc.
- 6. Copy of the reward given by the court along with the original receipts for which payment is made to the lawyer

In case of any claim is found untenable, the reason of rejection of claim will be communicated to the beneficiary by respective convener of QAC/CMO/CDMO/CMHO/CDHMO/DMO/DHO/Joint Director of the district for this purpose with a copy to the State Nodal Officer.

District Health Society shall not be liable under this scheme for compensation under more than one Section in respect of the same eventuality except under section (IC) & (ID).

9. MONITORING OF THE SCHEME:

The scheme will be monitored by **Central and State** Monitoring Committees on monthly / quarterly basis:

- a) State Quality Assurance Committee (SQAC) and District Quality Assurance Committee (DQAC) shall conduct quarterly reviews for all pending matters including pending claims.
- b) A **Senior Officer, nominated by the State Government** from the Directorate of Health & Family Welfare of the State as a **State Nodal Officer** shall review all pending matters including pending claims on monthly basis.
- c) The MOHFW shall conduct annual review of all matters including pending claims. Joint Secretary, MOH&FW, GOI shall head this review meeting which will be represented by the State Nodal officers from State Government.
- d) The **National Nodal Officer of Central Government** will review all matters relating to FPIS including claims on half yearly basis at National Level.
- e) States will provide the district wise claim statement to Central, State Government on monthly basis by 7thth -10th of the following month in a prescribed format.(Annexure XII)
- f) States will provide the state wise claim statement to Central, State Government on quarterly basis in a prescribed format.(Annexure XIII)
- g) States will provide periodically the district wise Facility Audit Report to Central, State Government(Annexure X)
- h) The quantum and conditionalities should remain the same in the existing insurance scheme except that the claims after due diligence by the district QAC should be put up to the state QAC who would be the final arbiter for the same.

10. ORIENTATION OF STAKEHOLDERS:

- a) States/UTs will print sufficient number of copies of claim form cum medical certificates in local languages if required.
- b) States/UTs will print sufficient number of copies of guidelines for District Officials approved by MOHFW for distribution to the districts and other authorities.
- c) State Nodal Officer will organize orientation workshops in the States for the district officials and other stake holders, including organizing claim clearance camps at State level and District Level if required.

11. ROLE OF THE STATE NODAL OFFICERS OF STATE GOVERNMENT AND ROLE OF CMOs/CDMOs/CMHOs/CDHMOs/DMOs/DMOs/Dy. DIRECTORS/ JOINT DIRECTORS ETC DESIGNATED FOR THE PURPOSE AT THE DISTRICT LEVEL

- a) To organise the Orientation Programme at State level for District Officials & the State officials as well as other Government authorities for the Family Planning Indemnity Scheme once in a year.
- b) To hold quarterly meetings with district level officers to monitor and review the claims, advice the district officials to respond/comply with deficiencies, if any.
- c) To organize the review meeting at State level on biannual basis to review all pending matters including pending claims under the chairmanship of Mission Director (NRHM) with the designated machinery at district level and to issue necessary advice to District Officials under intimation to MOHFW, GOI.
- d) To hold claim clearance camps at State level, if, the claim is still pending for the want of compliance for more than 60 days from the District, through a system of review meetings.

- e) To Audit all death claims followed by sterilization operations, audit of health facilities etc as per procedure laid in Quality Assurance Guidelines issued by Ministry of Health and Family Welfare, GOI in compliance of directions of Hon'ble Supreme Court. (Annexure VIII)
- f) To liaise with the District Officials designated by the State for the scheme and issue necessary guidelines in respect of the scheme.
- g) To ensure that each health facility is provided with FPIS Manual.
- h) To ensure that health facilities are having sufficient number of claim forms and using prescribed consent form, sterilization certificate and other documents for filing the FPIS claim as mentioned above.
- i) To ensure that District Officials are filing the FPIS Claims well within the stipulated period as per the scheme.
- j) To monitor the low/high reporting trend of FPIS claims from the districts, review the performance of the officials performing operation and issues necessary guidelines for corrective measures.
- To ensure that consolidated Quarterly Report on maintenance of quality, failure of sterilizations, complications or deaths attributable to sterilizations is submitted to MOHFW, GOI. (Annexure XIII)
- I) States/UTs will submit a Quarterly Report to the Central Government showing district wise number of claims pertaining to death, complication, failure of sterilization, including claims under Section II and the amount paid as compensation in each category, in each district.

ANNEXURES

CLAIM FORM FOR FAMILY PLANNING INDEMNITY SCHEME

The State will ensure that Claim Form cum Medical Certificate required for submitting claims under the FPIS Scheme are made available with all medical facilities conducting sterilization procedures, Office of CMO/CDMO/CMHO/CDHMO/ DMO/DHO/ Joint Director designated for this purpose at district level etc. in local language along with their English version.

- 1. This form is required to be completed for lodging claim under Section-I of the scheme.
- 2. This form is issued without admission of liability and must be completed and returned to the District Health Society/State Health Society for processing of claim.
- 3. No claim can be admitted unless certified by the convener of QAC/CMO/ CDMO/ CMHO/ CDHMO/DMO/DHO/ JOINT DIRECTOR designated for this purpose at district level by the State Government.

Claim no. :		
1. Details of the Claimant:		
Name in full:	Present Age:	Years
Relationship with the acceptor of Sterilization: _		
Residential Address:		
Telephone no		
2. Details of the person undergone sterilizate	tion operation:	
Name in Full:	Age:	Years
Son /daughter of:		
Name of the Spouse:	Age of the Spouse:	Years
Address:		
3. Permanent Business or Occupation:	_	
4. Details of Dependent children:		

S. No.	Name	Age (Yrs)	Sex (M/F)	Whether Unmarried	If unmarried, Whether dependent
1					
2					
3					
4					
5					

5.	(a)	Date of Sterilization Operation:
	(b)	Nature of Sterilization operation:
		(i) Laparoscopic Tubectomy:
		(ii) Vasectomy:
		(iii) MTP followed by sterilization:
		(iv) Caesarean operation followed by Sterilization:
		(v) Any other surgery followed by sterilization:
6.	(a)	Name and address of the doctor who conducted the operation:
	(b)	Name and address of the hospital where operation was conducted:
	(c)	Nature of claim: 1) Failure of sterilization not leading to child birth:
		2) Failure of Sterilization leading to child birth:
		3) Medical Complication due to Sterilization (state exact nature of complication):
		a. Date:
		b. Details of Complication:
		c. Doctor /Health facility:
	(d)	Death following sterilization:
		a. Date of Admission: Time:
		b. Date of Discharge : Time:
		c. Date of Death:Time:
7. Giv	⁄e de	etails of any disease suffered by acceptor prior to undergoing sterilization operation:
of the	e fore true	DECLARE that the particulars are true to the best of my knowledge and warrant the truth egoing particulars in every respect, and I agree that if I have made, or shall make any false statement, suppression or concealment of fact, my right to the compensation shall be y forfeited.
		laim a sum of Rs/- under the scheme, which I agree in full of my claim and shall have no further right whatsoever to claim under the scheme.
Date	:	Name of Acceptor/Claimant:
Place	:	Signature (in full) or thumb impression
MED	ICAL	CERTIFICATE ISSUED BY CMO/CDMO/CMHO/CDHMO/ DMO/DHO/JOINT DIRECTOR

DESIGNATED FOR THIS PURPOSE AT DISTRICT LEVEL.

22

It is	certified that Smt/Shri.				
S/o/	W/o:				
r/o_					
had	undergone sterilization operation on at (hospital)				
and	conducted by Dr Qualifications				
post	ed at				
Natı	ure of Sterilization operation done:				
(i)	Laparoscopic Tubectomy:				
(ii)	Vasectomy:				
(iii)	MTP followed by Sterilization:				
(iv)	Caesarean operation followed by Sterilization:				
(v)	Any other surgery followed by Sterilization:				
opei (a)	ve examined all the medical records and documents and hereby conclude that the sterilization ration is the antecedent cause of: Failure of Sterilization not leading to child birth: () (Attach documentary evidence)				
(b)	Failure of Sterilization leading to child birth: () (Attach documentary evidence).				
(c)	Medical Complication: (please give the details as under)				
	(i) Nature of Complication:				
	(ii) Period:				
	(iii) Expenses incurred for treatment of complication Rs (Attach Origina Bills/Receipts/Prescriptions)				
(d)	Death of Person (cause):				
	a. Date of Admission: Time:				
	b. Date of Discharge: Time:				
	c. Date of Death: Time: (Attach death certificate)				
	ve further examined all the particulars stated in the claim form and are in conformity with my ngs and is eligible for a compensation of Rs due to due to				
Plea	se pay Rs to the beneficiary.				
Doci	uments enclosed:				
(a) (b) (c) (d) (e)	Original Claim cum Medical certificate () Attested copy of sterilization certificate () Attested copy of consent form () ——————————————————————————————————				
Date	e: Seal:				

APPLICATION CUM CONSENT FORM FOR STERILIZATION OPERATION

An informed consent is to be taken from all acceptors of sterilization before the performance of the surgery as per the consent form placed below

<u>Nan</u>	ne of Health Facility:	
Ben	neficiary Hosp Registration Number:	
1. N	Name of the Acceptor: Shri/Smt	
2. N	Name of Husband /Wife: Shri/Smt	
Add	dress	
Con	ntact No:	
3. N	Names of all living, unmarried dependent Children	
i)	Age	
ii)	AgeAge	
	Age	
iv)	Age	
4. F	Father's Name of beneficiary: Shri	
A	Address:	
5. F	Religion/Nationality:	
6. E	Educational Qualifications:	
7. E	Business/Occupation:	
8. (Operating Centre:	
ope	mt/Shri	e's age
	am aware that I have the option of deciding against the sterilization procedure at any tim hout sacrificing my rights to other reproductive health services.	е
a)	I have decided to undergo the sterilization / re-sterilization operation on my own without outside pressure, inducement or force. I declare that I / my spouse has not been sterilized previously (may not be applicable in case of re-sterilization).	-
(b)	I am aware that other methods of contraception are available to me. I know that for all practical purposes this operation is permanent and I also know that there are still some chances of failure of the operation for which the operating doctor and health facility will be held responsible by me or by my relatives or any other person whomsoever	l not ()
(c)	I am aware that I am undergoing an operation, which carries an element of risk.	()
(d)	The eligibility criteria for the operation have been explained to me, and I affirm that I an eligible to undergo the operation according to the criteria.	۱ ()

(e)	I agree to undergo the operation under any type of anaesthesia, which the doctor/health facility thinks suitable for me, and to be given other medicines as considered appropriate by the doctor/health facility concerned. ()		
(f)	If, after the sterilization operation, I experience a missed menstrual cycle, then I shall report within two weeks of the missed menstrual cycle to the doctor/health facility and may avail of the facility to get an MTP done free of cost.		
(g)	event of death following sterilizate accept the compensation as per to Planning Indemnity Scheme" as for any compensation over and above	s sterilization operation, including failure, and the unlikely tion, I/my spouse and dependent unmarried children will he existing provisions of the Government of India "Family ull and final settlement and will not be entitled to claim the the compensation offered under the "Family Planning rt of law in this regard or any other compensation for ()	
(h)	I agree to come for follow-up visits to the Hospital/Institution/Doctor/health facility as instructed, failing which I shall be responsible for the consequences, if any.		
(i)	I understand that Vasectomy does not result in immediate sterilization. *I agree to come for semen analysis 3 months after the operation to conform the success of sterilization surgery (Azoospermia) failing which I shall be responsible for the consequences, if any. ()		
(* Ap	plicable for male sterilization cases	s)	
I have read the above information.			
#The above information has been read out and explained to me in my own language and that this form has the authority of a legal document.			
Date:		Signature or Thumb Impression of the Acceptor	
		Name of acceptor:	
		Signature of Witness (Acceptors side):	
Full N	lame:		
Signa	ture of witness:		
Full A	ddress		
# (O1	nly applicable for those beneficiarie	es who cannot read and write)	
A			
Appli	cable to cases where the client car	not read and the above information is read out.	

the Informed Consent Form in his/her local language.

Signature of Counselor:			
Full N	lame:		
Date:		Full Address:	
I certi	ify that I have satisfied my	self that -	
a.	Shri/Smtis within the eligible age-group and is medically fit for the sterilization operation.		
b.	I have explained all clauses to the client and that this form has the authority of a legal document.		
C.	c. I have filled the Medical record–cum-checklist and followed the standards for sterilization procedures laid down by the Government of India.		
Signat	ture of Operating Doctor	Signature of Medical Officer in-charge of the Facility	
(Nam	e of Operating Doctor)	(Name of Medical Officer in-charge of the Facility)	
Date:		Date:	
Seal		Seal	
		DENIAL OF STERILIZATION	
	fy that Shri/Smt zation/ sterilization for the	following reasons:	
1			
2			
He/ S	he has been advised the fo	llowing alternative methods of contraception.	
1	1		
2			
		Signature of the Counsellor** or	
		Doctor making the decision	
		Doctor making the decision	
Date:	Name aı	nd full Address:	
(** Co	(** Counsellor can be any health personnel including doctor)		

MEDICAL RECORD & CHECK LIST FOR FEMALE / MALE STERILIZATION

(TO BE FILLED BEFORE COMMENCING THE OPERATION)

A checklist to be filled by the doctor before conducting sterilization procedure for ensuring the eligibility and fitness of the acceptor for sterilization. **This annexure is a part of Consent form.**

NAME OF HEALTH FACILITY:	
BENEFICIARY REGISTRATION NUMBER:DATE:DATE:	

A. ELIGIBILITY

Client is within eligible age	Yes No
Client is ever married	Yes No
Client has at least one child more than one year old	Yes No
Lab investigations (Hb, urine) undertaken are within normal limits	Yes No
Medical status as per clinical observation is within normal limits	Yes No
Mental status as per clinical observation is normal	Yes No
Local examination done is normal	Yes No
Informed consent given by the client	Yes No
Explained to the client that consent form has authority as legal document	Yes No
Abdominal/pelvic examination has been done in the female and is WNL	Yes No
Infection prevention practices as per laid down standards	Yes No

B. MEDICAL HISTORY

Recent medical Illness	Yes No
Previous Surgery	Yes No
Allergies to medication	Yes No
Bleeding Disorder	Yes No
Anemia	Yes No
Diabetes	Yes No
Jaundice or liver disorder	Yes No
RTI/STI/PID	Yes No
Convulsive disorder	Yes No
Tuberculosis	Yes No
Malaria	Yes No
Asthma	Yes No
Heart Disease	Yes No
Hypertension	Yes No

Mental Illness	Yes No
Sexual Problems	Yes No
Prostatitis	Yes No
Epididymitis	Yes No
H/O Blood Transfusion	Yes No
Gynecological problems	Yes No
Currently on medication (if yes specify)	Yes No
LMP	Date:

Comments			
C. PHYSICAL EXAMINATION			
BPPulseTemperature			
Lungs	Normal Abnormal		
Heart	Normal Abnormal		
Abdomen	Normal Abnormal		

D. LOCAL EXAMINATION

1. MALE STERILIZATION

Skin of Scrotum	Normal Abnormal
Testis	Normal Abnormal
Epididymis	Normal Abnormal
Hydrocele	Yes No
Varicocele	Yes No
Hernia	Yes No
Vas Deferens	Normal Abnormal
Both Vas Palpable	Yes No

2. FEMALE STERILIZATION

External Genitalia	Normal Abnormal
PV Examination	Normal Abnormal
PS Examination	Normal Abnormal
Uterus Position	A/V R/V Mid position Not determined
Uterus size	Normal Abnormal
Uterus Mobility	Yes No
Cervical Erosion	Yes No
Adnexa	Normal Abnormal

Con	nments		
E. L	ABORATORY INVESTIGATIO	NS	
	Hemoglobin level	Gms%	
	Urine: Albumin	Yes No	
	Urine- Sugar	Present Absent	
	Urine test for Pregnancy	Positive: Negative:	
	Any Other (specify)		
		Name: Signature of the Examining	g Doctor
Dat	e:	HOSPITAL SEAL	

Annexure-IV

STERILIZATION CERTIFICATE

	Reg P.No	
	S.No	
	Year	
This is to certify that Smt/Shri	(Hosp. No.)	
S/o/W/o.Sri:	(He/ She is working as	
residing at		
has undergone Vasectomy/Tubectomy operation in	(name	e of the
facility/hospital) on		
Sperm count was undertaken onthe Vasectomy operation has been completely succe		ed that
(Para 2 only in case of Vasectomy operation only)		
	Signature	
	Medical Officer	
	Name	
Date Seal		

CHECKLIST FOR SUBMISSION OF CLAIM UNDER FAMILY PLANNING INDEMNITY SCHEME

Before forwarding the Claim Form cum Medical Certificate and other required documents a checklist for assisting the CMO/CDMO/CMHO/ CDHMO /DMO/DHO/Joint Director designated for this purpose at district level has been prepared.

CHECK LIST

Before forwarding the Claim Form and other Required Document, it has to be checked that:

A. CONSENT FORM:

- 1. **Registration number of the beneficiary, date,** and signature or thumb impression of the acceptor are properly placed in respective columns.
- 2. **Examination of patient record** is filled in properly and doctor has put his signature and date.
- 3. Details of dependents of acceptor are filled in.
- 4. All columns of Consent form and Medical Record & Check List for female / male sterilization are filled properly

B. CLAIM FORM:

- 1. Claim is submitted in a prescribed Claim Form in original.
- 2. Claim **forwarded through Medical Officer/Health Facility** conducting sterilization procedures.
- 3. Name and address of the acceptor are same mentioned on Consent form.
- 4. Signature or thumb impression of acceptor is same as mentioned on Consent form.
- 5. **Date of sterilization** is same as mentioned in the Sterilization Certificate and Consent form.
- 6. **Other details filled in are tallied** with other relevant documents which are becoming part of claim form.
- 7. **All columns of Medical Certificate** which is a part of Claim Form are filled in and date, signature and seal of CMO/ CDMO/ CMHO/ CDHMO/ DMO/ Joint Director designated for this purpose at district level has been placed.

C. STERILIZATION CERTIFICATE:

- 1. Name of acceptor is same as filled in on Consent form.
- 2. Date of sterilization is mentioned under specific column.
- 3. **Certificate issued** have signature and date of issuing authority.
- 4. Sterilization Certificate is in **proper format as prescribed by the State** and having **Registration Number and date.**

D. DIAGNOSTIC REPORT ISSUED FOR FAILURE OF STERILIZATION:

- Report issued should be in a proper document i.e. hospital case sheet/ proper diagnostic report.
- 2. It should have registration number and date.
- 3. Cause detected for **failure has been properly recorded** by the issuing authority on the document.
- 4. First diagnostic report by which a failure is detected is attached.

E. BIRTH CERTIFICATE:

- 1. Issued on a proper format.
- 2. Name of the acceptor tallies with other records.
- 3. **Date of birth** has been properly recorded.
- 4. The certificate is **signed and duly stamped** with date by proper authority.

F. COMPLICATIONS:

- 1. The case sheet / prescription have the name of acceptor.
- 2. Case sheet/ prescription have proper hospital registration number and date.
- 3. Case sheet/ prescription have a date of sterilization.
- 4. Nature of post operative complication has been recorded.
- 5. **Medicines prescribed** should tally with cash memo.
- 6. Case sheet/prescription and bills/cash memo are in original.

G. DEATH CERTIFICATE:

- 1. Death certificate has been issued by the proper authority.
- 2. Name of diseased, date of death etc are rightly filled in on the certificate.
- 3. Certificate should have **registration number and date of issue and signature** of issuing authority.

Annexure-VI

Form1

Death Notification Form

Instructions:

- The Medical Officer (MO) at the institution where the death occurred is responsible for filling out this form and notifying the convener of the District Quality Assurance Committee (DQAC) within 24 hours of death.
- The information is to be provided by telephone, telegram, or in person.

1	Date of this report (D/M/Y)	
2	Date of death (D/M/Y)	
3	Name of the deceased	
4	Age	
5	Sex	FemaleMale
6	Address of the deceased	
7	Name of husband/father	
8	Place where procedure performed (specify name of site)	Camp: PP Centre: PHC/CHC: District Hospital: MedicalCollegeHospital: Accredited private/NGO facility:
9 A	Type of procedure Tubectomy	Postpartum:
В	Vasectomy	Conventional: NSV:
С	Other with MTP/CS, etc.	YesNo
10	Date of sterilization procedure (D/M/Y)	//

11	Describe in detail what happened in chronological order. Include all symptoms and signs and describe all actions taken during the course of addressing the complication(s), beginning with the initial identification of the problem until the occurrence of death. Whenever possible record the time and date of each incident. (Use an additional sheet of paper if more	
	space is required.)	
12	Cause of death	
13	Contributing factors (if any)	
14	Was a post-mortem examination performed?	Yes
15	Name and designation of surgeon who performed the sterilization operation	
16	Name and address of Institution where death occurred	
17	Name and designation of reporting officer	

Date Name Signature of Reporting Officer Designation

Annexure-VII

Form2

Proforma on Death following Sterilization{To be filled in by the Operating Surgeon} (Death within one month of Sterilization)

Instructions:

- a) The surgeon who performed the sterilization operation shall fill out this form within 7 days of receiving intimation of the death from the MO In charge (I/c) of the centre where the death occurred.
- b) Copies of the records and the autopsy report, and other pertinent information
- c) If available, shall be forwarded with this report (Form 2) to the convener of the DQAC

C)	ii avaliable, silali be forwarded with this r	eport (Form 2) to the convener of the DQAC.
	a. Date of this report (D/M/Y)	//
	b. Type of Institution where	Camp
	the death occurred	PP Centre
		PHC/CHC
		District Hospital
		Medical College Hospital
		Accredited Private Hospital/NGO facility
1		
	Name of the Institution	
	Address	
	village/Town/City	
	District/State	
	Name of the person filling the report	
	Designation & Signature	
2	Designation & Signature	
		, , ,
3	Date of Sterilization (D/M/Y)	//
		Camp
		PP Centre
		PHC/CHC
4	location where the procedure was	District Hospital
·	performed	Medical College Hospital
		Accredited Private Hospital/NGO facility
		A 41 - 11
		Minilap
		laparoscopy
5		Post-partum Tubectomy
	Type of surgical approach	Conventional Vasectomy
		NSV
		Any other (specify
_1		
6	Date of Death (D/M/Y)	
7	Time of Death	a.m./p.m.

Client	: Details			
8	Name			
9	Age			
10	Sex	FemaleMale		
11	Spouse's name			
12	Address			
13	Relevant past medical history			
14	Pertinent preoperative physical and laboratory findings			
Steril	ization Procedure			
15	Timing of procedure (females only) as per standards	24 hours to 7 days post-partum		
16	Type of Anaesthesia	local without sedation		
17	Endotrachael intubation	Yes		

18	List all Aesthetic agents, Analgesics, Sedatives, and Muscle relaxants	Time given Drug Name Dosage Route
19	Vital signs during Surgery	
20	Duration of Surgery	Time of starting
21	V ital signs after Surgery	Time BP Pulse Resp. Rate
22	Emergency Equipment/Drugs available in facility as per standards If not available, give details	Available
23	Overall Comments	
24	Name and Signature of Operating Surgeon	
Date	2	Signature:
Nam	e:	Designation

Annexure-VIII

PROFORMA FOR CONDUCTING DEATH AUDIT FOLLOWING STERILIZATION (to be submitted within one month of sterilization)

Name of the State/District/Union Territory:

1	Details of the Deceased	
i	Full name	
ii	Age	
iii	Name of spouse and his/her age	
iv	Address	
V	Number of living children (with details concerning age and sex)	
vi	Whether the operation was performed after delivery or otherwise	
	If after delivery: Date of delivery Place of delivery Type of delivery Person who conducted the delivery	
viii	Whether tubectomy operation was done along with MTP	
2	Whether written consent was obtained before the operation	
3	Whether the operation was done at a camp or as a routine procedure at the institution	
4	Details	
a	Place of operation	
b	Date and time of operation (D/M/Y)	
С	Date and time of death (D/M/Y)	
d	Name of surgeon	

е	Whether surgeon was empanelled or not	Yes No
f	If the operation was performed at a camp, who primarily screened the client clinically?	
g	Was the centre fully equipped to handle any emergency complications during the procedure?	Yes
h	Number of clients admitted and number of clients operated upon on the day of surgery	
i	Did any other clients develop complications? If so, give details of complications.	
5	Anaesthesia/Analgesia/Sedation	
а	Name of anaesthetist, if present	
b	Details of anaesthesia drugs used	
С	Type of anaesthesia/analgesia /sedation	
6	Post-operative complications(according to sequence of events)	
i	Details of symptoms and signs	
ii	Details of laboratory and other investigations done	
iii	Details of treatment given, with timings, dates, etc. from time of admission until the death of the patient	
7	Cause of death (primary cause)	
8	Has post-mortem been done? If yes, attach the post-mortem report	
9	Whether first notification of death was sent within 24 hours. If not, give reason:	YesNo

	Details of the officers from the District Quality Assurance Committee (QAC) who conducted the enquiry	
	In the opinion of the chairman of the District QAC, was death attributable to the sterilization procedure?	Yes
12	What factors could have helped to prevent the death?	
13	Were the sterilization standards established by GOI followed?	Yes
14	Did the facility meet and follow the sterilization standards established by GOI? If no, list the deviation[s].	Yes No
15	Additional information	
16	Recommendations made	
17	Action proposed to be taken	

Date: Signature

Name Designation

Note: If any member of the QAC has performed the operation, he/she should not act as a chairman/member for this report.

CRITERIA FOR EMPANELMENT OF A DOCTOR / ACCREDITATION OF A HEALTH FACILITY FOR STERILIZATION

I. PERSONNEL REQUIREMENT:

1. Female Sterilization:

An MBBS Doctor trained to carry out Minilap Tubectomy may perform minilap tubectomy.

OR

Laparoscopic Tubectomy can be performed either by a Gynaecologist with DGO/MD/MS Degree and trained in Laparoscopic sterilization or by a surgeon with MS (Surgery) Degree and trained in Laparoscopic sterilization.

2. Male Sterilization:

Conventional Vasectomy can be performed by an MBBS Doctor trained in conventional Vasectomy. An MBBS doctor trained in no-scalpel vasectomy may perform no-scalpel vasectomy.

Female Sterilization Male

1. MBBS Doctor trained to carry out Minilap Tubectomy

OR

Gynaecologist with DGO/MD/MS qualification **or** a surgeon with MS Degree and trained in Laparoscopic sterilization.

- 2 One OT Staff Nurse/LHV/ANM
- 3. One OT Assistant/Helper
- 4. One Anaesthetist can be hired if necessary.

Male Sterilization

- 1. MBBS doctor trained in Vasectomy
- 2. One Staff Nurse LHV/ ANM /
- 3. One OT Assistant /Helper
- 4. One Male worker for counselling and administrative work

II. INFRASTRUCTURE REQUIREMENT:

The Hon'ble Supreme Court of India, in the case of Ramakant Rai and Another versus Union of India and others has, *inter alia*, directed the Union of India and States to 'introduce a system of having an approved panel of doctors/health facilities and limiting the persons entitled to carry on sterilization procedures in the State to those doctors whose names appear on the panel'. Accordingly all State Governments and UT Administrations have been asked to prepare panel of doctors/health facilities State-wise, region-wise or district-wise in accordance with the Hon'ble Supreme Court's orders.

The Family Planning Indemnity Scheme covers not only Government doctors / Institutions but also private doctors/health facilities providing family planning services to people. Empanelment /Accreditation of the private sector doctors/health facilities are essential for getting the benefits under this Scheme.

The private doctor/health facility which is accredited for providing female and male sterilization i.e. tubectomy and vasectomy has to conform to the clinical standards as laid down below.

The basic requirements of a doctor/health facility in respect of infrastructure facilities and medical personnel are also given below which can be used as a checklist for recognition of the clinic. The accredited private doctor/health facility shall follow the guidelines laid down by government for male and female sterilizations in all respects.

S. No.	Female Sterilization	Male Sterilization
1 Facilities	with concrete/tiled floor which can be cleaned thoroughly Running water supply through tap or bucket with tap	 Well ventilated, fly proof room with concrete/tiled floor which can be cleaned thoroughly Running water supply through tap or bucket with tap Electricity supply with a stand by generator and other light source
2 Space required	Counselling area which offers privacy and ensures avoidance of any interruptions Laboratory for blood & urine examination Clinical examination room for initial assessment and follow up Pre-operative preparation room for trimming of hair, washing, changing of clothes and pre medication Hand washing area near the OT for scrubbing Sterilization room, near the OT for autoclaving, washing and cleaning equipment, preparation of sterile packs Operation theatre should be isolated and away from the general thoroughfare of the clinic, if should be large enough to allow operating staff to move freely and to accommodate all the necessary equipment Lighting should be adequate Recovery room must be spacious and well ventilated, number of beds will be determined by the available space, should be adjacent to the OT. Adequate number of toilets: sufficient number of sanitary type toilets with running water for the clients and the staff Storage area	 Area for reception Waiting area Counselling area which offers privacy and ensures avoidance of any interruptions Laboratory for blood & urine examination Clinical examination room for initial assessment and follow up Pre-operative preparation room for trimming of hair, washing, changing of clothes and pre medication Hand washing area near the OT for scrubbing Sterilization room, near the OT for autoclaving, washing and cleaning equipment, preparation of sterile packs Operation theatre should be isolated and away from the general thoroughfare of the clinic, if should be large enough to allow operating staff to move freely and to accommodate all the necessary equipment. Lighting should be adequate. Recovery room must be spacious and well ventilated; number of beds will be determined by the available space, should be adjacent to the OT. Adequate number of toilets: sufficient number of sanitary type toilets with running water for the clients and the staff. Storage area Office area for keeping records

S. No.	Female Sterilization		Male Sterilization		
3	EQUIPMENT AND SUPPLIES				
A	Examination room requirement	 Examination table Foot stool Blood Pressure apparatus Thermometer Stethoscope Examination light Weighing scale Instrument for pelvic examination 	 Examination table Foot stool Blood Pressure apparatus Thermometer Stethoscope 		
В	Laboratory	 Haemoglobinometer and accessories Apparatus to estimate albumin and sugar in urine Reagents 	 Haemoglobinometer and accessories Apparatus to estimate albumin and sugar in urine Reagents 		
С	Sterilization room	 Autoclave Boiler Surgical drums SS Tray Glutaraldehyde solution 2% 	 Autoclave Boiler Autoclave drums Glutaraldehyde Solution 2% 		
D	Cleaning Room	 Hand Brushes Utility gloves Basins Detergents Chlorine solution 0.5% 	 Hand Brushes Utility gloves Basins Detergents Chlorine solution 0.5% 		
E	Operation Theatre	 Operating table capable of Trendelenburg's position Step up stool Spot light in OT Instrument trolley Mini Laparatomy Kit Laparoscopy Kit Blood Pressure Instrument Stethoscope Syringe with needles Emergency equipment & Drugs Room heater IV stand Waste basket, storage cabinet, buckets, basins for decontamination Box for used linen Puncture -proof box for needles 	 Operating table Step up stool Spot light in OT Instrument trolley Conventional Vasectomy Kit No- Scalpel Vasectomy Kit Emergency equipment & Drugs Room heater Blood Pressure Instrument Stethoscope Syringe with needles Waste basket, storage cabinet, buckets, basins for decontamination Box for used linen Puncture—proof box for needles IV stand 		
F	Recovery room	 Patient's cot with mattress, sheet, pillow, pillow cover, and blankets BP Instrument 	 Patient's cot with mattress, sheet, pillow, pillow cover, and blankets Thermometers 		

S. No.		Female Sterilization	Male Sterilization	
		Stethoscope	Stethoscope	
		Thermometers	Blood pressure instrument	
		IV stand	IV stand	
		Emergency equipment and	Emergency equipment and	
		drugs as per list	drugs as per list	
4	Emergency	Stethoscope	Stethoscope	
	equipment	BP instruments	BP instruments	
	& supplies	Oral Airways guedel size 3,4,5	Oral Airways guedel size 3,4,5	
		Nasopharyngeal airways size	Nasopharyngeal airways size	
		6,6.5,7.0	6,6.5,7.0	
		Suction machine with tubing	Suction machine with tubing &	
		& two straps	two straps	
		Ambu bag with mass size	Ambu bag with mass size 3,4,5	
		3,4,5	Tubing and oxygen nipple	
		tubing and oxygen nipple	Oxygen cylinder with reducing	
		Oxygen cylinder with	valve and flow meter	
		reducing valve and flow	Blanket	
		meter	Gauge pieces	
		Blanket	Kidney tray	
		Gauge pieces	> Torch	
		Kidney tray	Syringes and needles, including	
		> Torch	butterfly sets, IV Cannula	
		Syringes and needles, including	Intravenous infusion sets and fluids	
		butterfly sets, IV Cannula	Sterile laparotomy instruments	
		Intravenous infusion sets and	Endotrachael tube size 6, 6.5,	
		fluids	7, 7.5, 8.0	
		Sterile laparotomy	Laryngeal mask airway size	
		instruments	3,4,5	
		Endotrachael tube size 6, 6.5,	Combitube	
		7, 7.5, 8.0	Cricothyroidectomy set	
		Laryngeal mask airway size		
		3,4,5		
		Combitube		
		Cricothyroidectomy set		
5	Emergency	Injection Adrenaline	Injection Adrenaline	
	drugs	Injection Atropine	Injection Atropine	
		Injection Hydrocortisone	Injection Hydrocortisone	
		(Dexamethasone)	(Dexamethasone)	
		Injection Physostigmine	Injection Physostigmine	
		Injection Aminophylline	Injection Diazepam	
		Injection Diazepam	Injection Deriphyline	
		Injection Deriphyline	Injection Pheniramine Maleate	
		Injection Pheniramine	Injection Promethazine	
		Maleate	Injection Ranitidine	
		Injection Promethazine	Injection Metoclopramide	
		Injection Ranitidine	Injection Xylocard	
		Injection Metoclopramide	Injection Pentazocine	
		Injection Xylocard	Injection Sodium Bicarbonate	
		Injection Pentazocine	(7.5 %)	

S. No.	Female Sterilization	Male Sterilization
	 Injection Sodium Bicarbonate (7.5 %) Injection Calcium Gluconate/Calcium Chloride Injection Frusemide Injection Methergine Injection Dopamine Injection Mephentermine Injection Oxytocin Electorde jelly Water –soluble jelly IV fluids Dextrose 5% Glucose 25% Ringer Lactate solution. 0.9% sodium chloride (normal saline) Heta Starch (HES 6 %) 	 ➢ Injection Calcium Gluconate/Calcium Chloride ➢ Injection Frusemide ➢ Injection Dopamine ➢ Injection Mephentermine ➢ Electorde jelly ➢ Water –soluble jelly IV fluids ➢ Dextrose 5% ➢ Glucose 25% ➢ Ringer Lactate solution. ➢ 0.9% sodium chloride (normal saline) ➢ Heta Starch (HES 6 %)

Annexure-X

FACILITY AUDIT REPORT

Gen	eral Information				
i)	Date of inspection (D/M/Y)	//			
	Clinic Venue: PHC/CHC/DH/Medical College Hospital/Any other (specify)				
	Name of the block, District, State				
iv)	Name and Designation of Observer				
Infi	rastructural Facilities				
		Yes/ No	Comments	Suggestions/ Recommendations	
1	Is the building in good condition (walls, doors, windows, roof, and floor)?				
2	2 Is the facility clean?				
3	Is running water available at the Service points?				
4	Is clean and functional toilet facility available for staff				
	Is clean and functional toilet facility available for acceptors				
5	Is electricity available?				
6	If there is no running water or electricity, are alternatives available that permit the providers to deliver the available services hygienically?				
7	Is there a functional generator available?				
8	Is Petrol Oil & lubricants (PO1) available for the generator?				
9	Is there space earmarked for examination and counselling to assure privacy?				
10	Is a waiting area with adequate seating facility available?				
Faci	lities Available at OT				
11	Is there a proper OT facility available?				
12	Does the OT have running water available?				

13	Is an Operation Table with Trendelenburg's facility (for female sterilization) available?			
14	Is a functional shadow less lamp available?			
15	Is functional suction apparatus available?			
16	Is functional emergency light (through a functional inverter) available?			
17	Is an oxygen cylinder with gas and accessories available?			
18	Availability of: o Minilap instrument o Laparoscopic set o NSV sets			
19	Instruments for laparotomy			
20	Emergency resuscitation equipment like Ambu bag, face mask, airways, etc.			
21	Emergency medicine tray			
22	Sterilized consumables in dressing drum			
23	Sterilized surgical attire such as apron, gloves, mask, and cap			
	Other essential requirements			
24	Other essential requirements			
	traceptive Stock Position			
	i i			
Con	Buffer stock available for one month: O Oral pills O Condoms O Copper T			
Cont 25	Buffer stock available for one month: Oral pills Condoms Copper T EC pills Does the facility have adequate storage facility for contraceptives (away from water and sources of			
25 26	Buffer stock available for one month: O Oral pills O Condoms O Copper T O EC pills Does the facility have adequate storage facility for contraceptives (away from water and sources of heat, direct sunlight, etc.) on the premises?			
25 26 27	Buffer stock available for one month: O Oral pills Condoms Copper T EC pills Does the facility have adequate storage facility for contraceptives (away from water and sources of heat, direct sunlight, etc.) on the premises? Do stock-outs occur? Is there an effective logistics system that tracks stock levels and notifies staff when supplies need			
25 26 27 28	Buffer stock available for one month: O Oral pills O Condoms O Copper T O EC pills Does the facility have adequate storage facility for contraceptives (away from water and sources of heat, direct sunlight, etc.) on the premises? Do stock-outs occur? Is there an effective logistics system that tracks stock levels and notifies staff when supplies need reordering? Are supplies in good condition (not expired, not			
25 26 27 28 29 30	Buffer stock available for one month: O Oral pills O Condoms O Copper T O EC pills Does the facility have adequate storage facility for contraceptives (away from water and sources of heat, direct sunlight, etc.) on the premises? Do stock-outs occur? Is there an effective logistics system that tracks stock levels and notifies staff when supplies need reordering? Are supplies in good condition (not expired, not damaged, etc.)? Are expired contraceptives destroyed to prevent			
25 26 27 28 29 30	Buffer stock available for one month: O Oral pills O Condoms O Copper T O EC pills Does the facility have adequate storage facility for contraceptives (away from water and sources of heat, direct sunlight, etc.) on the premises? Do stock-outs occur? Is there an effective logistics system that tracks stock levels and notifies staff when supplies need reordering? Are supplies in good condition (not expired, not damaged, etc.)? Are expired contraceptives destroyed to prevent resale or other inappropriate use?			

Info	rmation, Education, Communication (IEC) Materials		
33	Clients' rights displayed at a prominent place at the facility		
34	Board displaying Service Timings		
35	Availability of free and paid services displayed on wall painting		
36	Signboard indicating the direction for each service point displayed		
37	Flip charts, models, specimens, and samples of contraceptives available in the counselling room		
38	IEC materials such as posters, banners, and handbills available at the site and displayed		
39	Suggestion and complaint system for clients (complaint box and/or a book)		
Mar	nagement Information System		
40	Client registration record maintained		
41	Records on family planning (FP) (including the number of clients counselled and the number of acceptors)	1	
42	Sterilization records		
43	Follow-up records for FP clients		
44	Regular furnishing of Monthly Progress Reports(MPR)		
45	Does staff complete client records by including information essential for the continued care of clients?		
46	When clients return for follow-up services, can staff retrieve their records easily?		
Hun	nan Resources		
47	Availability of all staff as per sanctioned posts		
48	Are the various categories of staff adequate for the activities of the centre?		
49	Are the doctors empanelled in the state as per procedures laid by GOI?		
Infe	ction Prevention		
50	Are the autoclave and instrument boiler functional?		
51	Are needle destroyers available?		
52	Is there a container for the disposal of sharp instruments available in the dispensing room?		
53	Mopping of floor by liquid bleach		

54	Utility gloves in use for cleaning floor, instruments, and linen		
55	Availability of proper waste disposal mechanisms (incinerator / other)		
56	Final Remarks of Observer		

Date:	Signature
	Name
	Designation of Observer

Annexure-XI

ASSESSMENT OF DISTRICT QUALITY ASSURANCE COMMITTEE (To be used by officials visiting the Districts from the State/Centre)

			Date of visit://							
Na	me of State:		Name of District:							
1.	Is there a Quality A	ssurance Committee	(QAC) existent in the district? Yes/No							
2.	Is it functional:		Yes/No							
3.	Who are the memb	Who are the members of the District QAC?								
	A		E							
	В		F							
	C		G							
	D		Н							
4.	How many times ha	as the District QAC me	et during the last one year:							
5.	What are the existi	ng recording mechan	isms:							
6.		tion cases audited by	the District QAC in the last one year – period:							
	Deaths									
	Complications									
	> Failures									
7.	Out of the above, h	ow many compensat	ion payments have been settled?							
	Deaths									
	Complications									
	Failures									

8.	Are there any suggestions/remarks/recommendations made by the QAC?
9.	What are the suggestions/remarks/recommendations made?
10.	Have any corrective measures been taken in the district? Yes/No
11.	What are the corrective measures/actions being taken up in the district?
12.	Suggestions of Visiting Officer:
••••	
Sigi	nature Name:
Des	signation of the Visiting Officer Date:

Annexure-XII

STATE WISE MONTHLY REPORTING FORMAT

	State Year														
Α	Month B	С	D	Е	F	G	Н	I	J	K	L	М	N	0	Р
S.No	Name of the District	S.No	Name of beneficiary/ claimant	Doctor's Name	Facility Name (PHC/CH/ District Hospital)	Type of Operation (Tubectomy/ Vasectomy)	Type of claim (Death/ Complication/ Failure)	Date of Claim	Date of Documents Received	BASIS OF CLAIMING (URINE TEST REPORT/ USG/ PER ABDOMINAL EXAMINATION/ MTP/ BIRTH CERTIFICATE/ SEMEN TEST REPORT)	Amount Claimed	Cheque No / Date	Amount Paid	Status of Claims (Paid / Outstanding/ Rejected)	Remarks (Reason for Rejection)

Indicators

Column D
(No of Sterilization operations for that month)

Paid Claims Ratio
(Column O - Paid claims data)
(No of Sterilization operations for that month)

Rejected Claims Ratio
(Column O - Rejected claims data)
(No of Sterilization operations for that month)

Outstanding Claims Ratio
(Column O - Outstanding claims data)
(No of Sterilization operations for that month)

QUARTERLY REPORT FORM

Quarterly report on maintenance of quality, failure of sterilizations, complications or deaths attributable to sterilizations is to be sent by the concerned district level QAC/CMO/CDMO/CMHO/CDHMO/DMO/ DHO/ Joint Director designated for this purpose to the State level QAC/State Health Directorate /State Health Secretary in the format given below.

The State will send a consolidated report to the Ministry of Health and Family Welfare, Government of India, Nirman Bhawan, New Delhi in the same format on a quarterly basis.

Name of the District / Name of the State:								
To be submitted by	District level QAC to S	tate level QAC / Stat	e level QAC to MOH&F\	N, GOI				
	UPTO QUARTE	R ENDING:	•••••					
JAN TO MARCH -	, JAN TO JUNE -	, JAN TO SEPT-	, JAN TO DEC-					

1	Number of sterilization conducted in the districts / States.						
(i)	In Government Hospitals.						
(ii)	In Private Hospitals.						
2	Death reported in hospital or within 7 days from discharge.						
3	No of cases where Rs. 50000 paid from District RKS (under 4 (i).						
4	Death reported between 8 – 30 days from discharge.						
5	Number of claims accepted by District Health Society						
6	Number of cases where payment released by District Health Societ	У					
7	Number of claims pending for settlement by District Health Society	у					
	Period of pendency: 30days: 31-90 days: More than 90 days:	•••					
8	No. of Court cases against doctor/ health facility, if any.						
(i)	Action taken on court cases against doctor/ health facility:						
(ii)	Court cases for non-settlement of claims in consumer courts etc.						
9	Number of private doctors / health facilities empanelled/ accredite	ed:					
10	Whether prescribed consent forms are available in local languages Doctors/ Health facilities in sufficient number (as per manual).	with all					
11	Problem, if any, with general public reporting failures/ Complicatio deaths etc. following sterilization:	ns /					
12	Details of enquiries held into each case of breach of guidelines by doctor or health facility, punitive action taken against them including names of doctors and health facilities removed from the panel.	(To be given on separated Sheet)					
13	Any other information	(To be given on separated Sheet)					

QUARTERLY CLAIMS STATUS (State-wise)

	CI	aim Int	timatio	n	Paid				Rejected			Out Standing							
State	Complication	Death	Failure	Grand Total	Complication	Death	Failure	Total	Amount	Complication	Death	Failure	Total	Amount	Complication	Death	Failure	Total	Amount
BIHAR																			
CHATTISGARH																			
HIMACHAL PRADESH																			
JAMMU & KASHMIR																			
JHARKHAND																			
MADHYA PRADESH																			
ORISSA																			
RAJASTHAN																			
UTTAR PRADESH																			
UTTARAKHAND																			
ARUNACHAL PRADESH																			
ASSAM																			
MANIPUR																			
MEGHALAYA																			
MIZORAM																			
NAGALAND																			
SIKKIM																			
TRIPURA																			
ANDHRA PRADESH																			
GOA																			
GUJARAT																			
HARYANA																			
KARNATAKA																			
KERALA																			
MAHARASHTRA																			
PUNJAB																			
TAMIL NADU																			
WEST BENGAL																			
A & N ISLANDS																			
CHANDIGARH																			
D & N HAVELI																			
DAMAN & DIU																			
DELHI																			
LAKSHADWEEP																			
PUDUCHERRY																			

Family Planning Division Ministry of Health and Family Welfare Government of India